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PATENT APPLICATION



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Klaus LINHARD, et al.

Appln. No.: 10/590,874

Confirmation No.: 4127

Filed: August 28, 2006

For: MOTOR VEHICLE HAVING A MICROPHONE

Attorney Docket No.: 3926.261

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, V 22313-1450 on October 26, 2006.

20 Reg. No. 56,150

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of any non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) and the German Search Report issued in the corresponding applications are provided herewith.

- 1. US 4,726,620
- 2. US 2002/031234 A1

{WP344933;1}

- 3. EP 0 872 384 A2
- 4. DE 93 19 779 U1
- 5. DE 43 00 433 A1
- 6. DE 195 39 631 A1
- 7. DE 102 03 599 A1 (has English-language equivalent application US 7,058,193 B2 listed as Document 12)
- 8. DE 297 19 024 U1
- 9. EP 0 629 525 B1
- 10. WO 00/48 225 A2
- 11. English abstract of JP 2001-105989 A
- 12. US 7,058,193 B2

Documents 1-5 are cited in the ISR. Documents 5-11 German Search Report. Documents 2, 7 and 11 are also mentioned in the background of the specification. Document 12 is an English-language equivalent application of Document 7. A concise explanation of relevancy for each non-English document is provided below:

#### Document 3

It is indicated in the ISR that column 2, line 51 - column 3, line 42 and the figure of Document 3 are "A" category relevant to claim 1 of the corresponding PCT application.

#### Document 4

It is indicated in the ISR that page 4 and the figures of Document 4 are "A" category relevant to claim 1 of the corresponding PCT application.

#### **Document 5**

It is indicated in the ISR that column 4, line 6 – column 5, line 42 and the figures of Document 5 are "A" category relevant to claim 1 of the corresponding PCT application.

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Document 6

It is indicated in the German Search Report that column 1, lines 6 - 10 of

Document 6 are "Y" category relevant to claims 1 and 9 of the corresponding German

application.

**Document 8** 

It is indicated in the German Search Report that page 2, first paragraph of Document 8 is

"Y" category relevant to claims 1 and 9 of the corresponding German application.

**Document 9** 

It is indicated in the German Search Report that claim 1 of Document 9 is "A" category

relevant to claims 1-9 of the corresponding German application.

**Document 10** 

It is indicated in the German Search Report that claim 6 of Document 10 is "A" category

relevant to claims 1-9 of the corresponding German application.

Since the present Information Disclosure Statement is being filed before the mailing date

of the first Office Action, no statement under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p)

is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

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and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

**Date: October 26, 2006** 

Respectfully submitted,

Yonghong Chen

Registration No. 56,150

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/590,874		
Filing Date	08/28/2006		
First Named Inventor	Klaus LINHARD		
Art Unit			
Examiner Name			
Attorney Docket Number	3926 261		

	1 00			DOCUMENTS	Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	ļ —	US- 4,726,620	02-23-1988	Takahashi	
		<sup>US-</sup> 2002/031234 A1	03-14-2002	Wenger et al.	
		<sup>US-</sup> 7,058,193 A2	06-06-2006	Lane	
		US-			

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Cite	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	١.
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
		EP 0 872 384 A2	10-21-1998	FER Fahrzeugelektrik GmbH		
		DE 93 19 779 U1	03-17-1994	Schaefer, Erwin		
		DE 43 00 433 A1	07-14-1994	Philips Patentverwaltung GmbH		L
		DE 195 39 631 A1	05-09-1996	Magna Zippex Autotechnik GmbH		
		DE 102 03 599 A1	08-07-2003	ArvinMeritor GmbH		<u></u>
		DE 297 19 024 U1	01-29-1998	FER Fahrzeugelektrik GmbH		

Examiner	Date	
Signature	Considere	l

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Subst	tute for form 1449/PTO	Co.	Complete if Known		
Coost	10.000.000.000.000	Application Number	10/590,874		
INI	FORMATION DISCLOSURE	Filing Date	08/28/2006		
• • • •	•	First Named Inventor	Klaus LINHARD		
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit			
		Examiner Name			
Shoot	2 of 2	Attorney Docket Number	3926,261		

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
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o.'	Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
EP 0 629 525 B1	12-04-1996	Société Anonyme dite: REGIE NATIONALE DES USINES I		
WO 00/48 225 A2	08-17-2000	NIKON CORPORATION		
JP 2001-105989 A	04-17-2001	THE FUKYKAWA ELECTRIC CO LTD		
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-	EP 0 629 525 B1 WO 00/48 225 A2	EP 0 629 525 B1       12-04-1996         WO 00/48 225 A2       08-17-2000	EP 0 629 525 B1         12-04-1996         Société Anonyme dile: REGIE NATIONALE DES USINES I           WO 00/48 225 A2         08-17-2000         NIKON CORPORATION	EP 0 629 525 B1         12-04-1996         Socialità Anonymia dia: REGIE NATIONALE DES USINES I           WO 00/48 225 A2         08-17-2000         NIKON CORPORATION

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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